

<i>SERFF Tracking Number:</i>	<i>NYLC-126454921</i>	<i>State:</i>	<i>Arkansas</i>
<i>Filing Company:</i>	<i>New York Life Insurance and Annuity Corporation</i>	<i>State Tracking Number:</i>	<i>44578</i>
<i>Company Tracking Number:</i>	<i>306-130</i>		
<i>TOI:</i>	<i>L09I Individual Life - Flexible Premium Adjustable Life</i>	<i>Sub-TOI:</i>	<i>L09I.001 Single Life</i>
<i>Product Name:</i>	<i>AD108 SPUL-Max Face Amount Change-2010</i>		
<i>Project Name/Number:</i>	<i>AD108 SPUL-Max Face Amount Change-2010/306-130</i>		

Filing at a Glance

Company: New York Life Insurance and Annuity Corporation

Product Name: AD108 SPUL-Max Face Amount Change-2010
 SERFF Tr Num: NYLC-126454921 State: Arkansas

TOI: L09I Individual Life - Flexible Premium Adjustable Life
 SERFF Status: Closed-Accepted For Informational Purposes State Tr Num: 44578

Sub-TOI: L09I.001 Single Life
 Co Tr Num: 306-130 State Status: Filed-Closed

Filing Type: Form
 Reviewer(s): Linda Bird
 Disposition Date: 01/15/2010

Authors: Team Leader, Sean Hebron

Date Submitted: 01/14/2010
 Disposition Status: Accepted For Informational Purposes
 Implementation Date:

Implementation Date Requested: On Approval

State Filing Description:

General Information

Project Name: AD108 SPUL-Max Face Amount Change-2010

Project Number: 306-130

Requested Filing Mode: Informational

Explanation for Combination/Other:

Submission Type: New Submission

Overall Rate Impact:

Filing Status Changed: 01/15/2010

Deemer Date:

Submitted By: Sean Hebron

Filing Description:

RE: New York Life Insurance and Annuity Corporation

Individual Life Insurance

NAIC #: 82691596

FEIN #: 13-3044743

Informational Submission For:

Status of Filing in Domicile:

Date Approved in Domicile:

Domicile Status Comments:

Market Type: Individual

Group Market Size:

Group Market Type:

Explanation for Other Group Market Type:

State Status Changed: 01/15/2010

Created By: Sean Hebron

Corresponding Filing Tracking Number:

SERFF Tracking Number: NYLC-126454921 State: Arkansas
Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number: 44578
Company Tracking Number: 306-130
TOI: L09I Individual Life - Flexible Premium Sub-TOI: L09I.001 Single Life
Adjustable Life
Product Name: AD108 SPUL-Max Face Amount Change-2010
Project Name/Number: AD108 SPUL-Max Face Amount Change-2010/306-130

Single Premium Universal Life Insurance (SPUL) Policy

Dear Commissioner:

In the interest of keeping your Department up to date, we are sending this letter to inform you of our intention to change the face amount requirements, applicable with our Single Premium Universal Life Insurance (SPUL) Policy, form 306-130.49. This policy was approved by your Department on 6/21/2006 DOI #32963 and on 7/9/2007 to update the CSO Mortality Table reference.

The minimum and maximum face amounts will vary based on age and rating. We are changing the age bands (and ultimately the maximum face amount ranges) as follows:

Revised Ages and Ranges Current Ages and Ranges

21 to 49 (range from \$149,400 to \$514,800) 21 to 59 (range from \$111,600 to \$514,800)
50 to 69 (range from \$146,000 to \$316,000) 60 to 69 (range from \$146,000 to \$235,000)
70 to 85 (range from \$146,250 to \$217,500) 70 to 85 (range from \$146,250 to \$217,500)

We expect to make this revision effective for any Single Premium Universal Life Insurance policy applied for on or after February 16, 2010. This change will only be applicable to new issues of the SPUL policy indicated above and will not apply to in-force policies.

I hope that this information is satisfactory and that we may receive your Department's acknowledgement of this change, at your earliest convenience. If you have any questions concerning this submission, please do not hesitate to contact me at my toll-free number, 1-877-464-0198.

Sincerely,

Linda E. LoPinto
Corporate Vice President
Individual Life Department
Encl.

Company and Contact

Filing Contact Information

Sean Hebron, Senior Contract Assistant Sean_Hebron@nyl.com

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TOI: L09I Individual Life - Flexible Premium Sub-TOI: L09I.001 Single Life

Adjustable Life

Product Name: AD108 SPUL-Max Face Amount Change-2010

Project Name/Number: AD108 SPUL-Max Face Amount Change-2010/306-130

51 Madison Avenue 212-576-2681 [Phone]
Room 606 212-447-4141 [FAX]
New York, NY 10010

Filing Company Information

New York Life Insurance and Annuity Corporation CoCode: 91596 State of Domicile: Delaware

51 Madison Ave Group Code: 826 Company Type: Life
New York, NY 10010 Group Name: NYLIC State ID Number:
(212) 576-4809 ext. [Phone] FEIN Number: 13-3044743

Filing Fees

Fee Required? Yes

Fee Amount: \$50.00

Retaliatory? Yes

Fee Explanation: \$50.00 per informational filing

Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance and Annuity Corporation	\$50.00	01/14/2010	33538119

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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Accepted For Linda Bird Informational Purposes		01/15/2010	01/15/2010

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Disposition

Disposition Date: 01/15/2010

Implementation Date:

Status: Accepted For Informational Purposes

Comment:

Rate data does NOT apply to filing.

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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		No
Supporting Document	Application		No
Supporting Document	Health - Actuarial Justification		No
Supporting Document	Outline of Coverage		No

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Supporting Document Schedules

		Item Status:	Status Date:
Bypassed - Item:	Flesch Certification		
Bypass Reason:	N/A		
Comments:			

		Item Status:	Status Date:
Bypassed - Item:	Application		
Bypass Reason:	N/A		
Comments:			

		Item Status:	Status Date:
Bypassed - Item:	Health - Actuarial Justification		
Bypass Reason:	N/A		
Comments:			

		Item Status:	Status Date:
Bypassed - Item:	Outline of Coverage		
Bypass Reason:	N/A		
Comments:			